IN AND BEFORE THE OKLAHOMA STATE BOARD OF MEDICAL LICENSURE AND SUPERVISION STATE OF OKLAHOMA

)

)

)

)

)

)

))

)

)

STATE OF OKLAHOMA, ex rel., THE OKLAHOMA STATE BOARD OF MEDICAL LICENSURE AND SUPERVISION,

Plaintiff,

VS.

TOAN CONG NGUYEN, O.T., LICENSE NO. OT 5737.

Defendant.

ORDER ACCEPTING

VOLUNTARY SUBMITTAL TO JURISDICTION

The State of Oklahoma, ex rel. Oklahoma State Board of Medical Licensure and Supervision ("Board"), by and through the undersigned counsel for the Plaintiff, as represented by the Secretary of the Board, Billy H. Stout, M.D., and the Executive Director of the Board, Lyle Kelsey, along with Toan Cong Nguyen, OT ("Defendant"), Oklahoma Occupational Therapist License No. OT 5737, who appears in person, and by and through counsel William Wells of the Wells Law Firm (collectively, the "Parties"), hereby offer this Order Accepting Voluntary Submittal to Jurisdiction (herein, "Order" or "Agreement") for acceptance by the Board. Okla. Admin. Code § 435:5-1-5.1.

By voluntarily submitting to jurisdiction and entering into this Order, Defendant admits that if Plaintiff were to prove the allegations herein contained, a hearing before the Board could result in some sanction under the Oklahoma Occupational Therapy Practice Act ("Act"). 59 O.S. § 888.1. et seq.

Defendant, Toan Cong Nguyen, states that he is of sound mind and is not under the influence of, or impaired by, any medication or drug and that he fully recognizes his right to appear before the Board for an evidentiary hearing on the allegations made against him. Defendant hereby voluntarily waives his right to a full hearing, submits to the jurisdiction of the Board and agrees to abide by the terms and conditions of this Order. Defendant acknowledges that he has read and understands the terms and conditions stated herein, and that this Agreement may be reviewed and discussed with him by legal counsel prior to execution.

If the Board does not accept this Order, the Parties stipulate that it shall be regarded as null and void. Admissions by Defendant herein, if any, shall not be regarded as evidence against him

Page 1 of 5

FII FD SEP 1 4 2023

OKLAHOMA STATE BOARD OF MEDICAL LICENSURE & SUPERVISION

Case No. 23-03-6208

in a subsequent disciplinary hearing. Defendant will be free to defend himself and no inferences will be made from his willingness to have this Order accepted by the Board. The Parties stipulate that neither the presentation of this Order nor the Board's consideration of this Order shall be deemed to have unfairly or illegally prejudiced the Board or its individual members and, therefore, shall not be grounds for precluding the Board nor any individual Board member from further participation in proceedings related to the matters set forth herein.

Findings of Fact

The Plaintiff, Defendant, and the Board staff each stipulate and agree as follows:

- 1. In Oklahoma, Defendant holds Occupational Therapist License No. OT 5737.
- 2. On January 27, 2023, Defendant was supposed to treat a two (2) year old patient. The patient was heard crying and staff members watched on closed circuit television what transpired. Defendant locked the door and proceeded with "treatment" that was, according to the Clinical Director, "such a poor excuse for therapy that it was not billable."
- 3. Witnesses observed a sensory box full of small beans given to the patient, who climbed in and began playing. Defendant sat next to the box in a "meditative position" with his eyes closed, not engaging with the child. Then Defendant got up and sat at his desk for approximately fifteen (15) minutes paying no attention to the child who was out of Defendant's view. This unsupervised play and other dangerous lack of supervision occurred which constituted a total lack of therapeutic treatment.

Conclusions of Law

- 4. The Board has jurisdiction over the subject matter and is a duly authorized agency of the State of Oklahoma empowered to license and oversee the activities of Occupational Therapy Assistants in the State of Oklahoma. 59 O.S. §888.14, et seq. and Okla. Admin. Code 435:30-1-10.
- 5. Notice was provided as required by law and the rules of the Board. 75 O.S. § 309; 59 O.S. § 2041(A); Okla. Admin. Code §§ 435:30-1-11, 435:1-1-4(c), 435:3-3-5, 435:3-3-6.
- 6. The Board is authorized to suspend, revoke or order any other appropriate conditions against the license of any Occupational Therapy Practitioner holding a license to practice in the State of Oklahoma for unprofessional conduct. 59 O.S. § 888.14(c). and Okla. Admin. Code 435:30-1-10.
- The Board is authorized to accept voluntary submittals to jurisdiction mutually agreed to by parties to a disciplinary action to resolve the action without need for a hearing. 75 O.S. § 309(E); Okla. Admin. Code § 435:5-1-5.1.
- 8. Based on the foregoing, Defendant is guilty of unprofessional conduct as follows:

4

- a. Engaging in unprofessional conduct as defined by the rules established by the Board, or violating the Code of Ethics adopted and published by the Board, in violation of 59 O.S. § 888.9(A)(2).
- b. Any conduct which potentially or actually jeopardizes a patient's life, health or safety, in violation of OAC 435:30-1-10(18).
- c. Negligence while in practice of occupational therapy or violating the "Standards of Ethics and Professional Conduct" adopted by the Board, in violation of OAC 435:30-1-10(19).

ORDERS

IT IS THEREFORE ORDERED by the Oklahoma State Board of Medical Licensure and Supervision as follows:

- 1. The Board hereby adopts the Agreement of the Parties in this Order Accepting Voluntary Submittal to Jurisdiction, including the findings of fact and conclusions of law stated herein.
- 2. TOAN CONG NGUYEN, OT, shall have the following limitation placed on his license to practice Occupational Therapy in the State of Oklahoma or utilizing his Oklahoma License: he shall not practice on children under thirteen (13) years of age. This restriction will be for 2 years.

Standard Terms:

- a. Defendant shall conduct his practice in compliance with the Oklahoma Occupational Therapy Practice Act ("Act") as interpreted by the Board. Any question of interpretation regarding the Act or this Order shall be submitted in writing to the Board, and no action based on the subject of the question will be taken by Defendant until clarification of interpretation is received by Defendant from the Board or its authorized designee.
- b. Defendant will keep the Board informed of his current address.
- c. Until such time as all indebtedness to the Board has been satisfied, Defendant will reaffirm said indebtedness in any bankruptcy proceeding.
- d. Upon request, Defendant shall make himself available for one or more personal appearances before the Board or its authorized designee.
- e. Promptly upon receipt of an invoice, Defendant shall pay all costs of this action authorized by law, including without limitation, legal fees, investigation costs, staff time, salary and travel expenses, witness fees and attorney's fees.
- f. A copy of this Order shall be provided to Defendant as soon as it is processed.

Dated this <u>8</u> day of <u>Sept.</u>, 2023.

NIA

Billy H. Stout, M.D., Board Secretary OKLAHOMA STATE BOARD OF MEDICAL LICENSURE AND SUPERVISION

ONIN DAR

Toan Cong Nguyen, O.T License OT 5737 Defendant

William Wells, OBA# 14578 Wells Law Firm Court Plaza Building 228 Robert S. Ker Avenue, Suite 220 Oklahoma City, OK 73102 Attorney for Defendant

NIA

Steven Katsis, M.D., President OKLAHOMA STATE BOARD OF MEDICAL LICENSURE AND SUPERVISION

Joseph L. Ashbaker, OBA 19395 Assistant Attorney General STATE OF OKLAHOMA, OFFICE OF ATTORNEY GENERAL Attorney for Plaintiff, Oklahoma State Board of Medical Licensure and Supervision

ACKNOWLEDGMENT

)

ï

STATE OF OKLAHOMA

COUNTY OF OKLA.

8 day of Sept This instrument was acknowledged before me on the ____ 2023 , by Toan Cong Nguyen.

SS.

LORA LOPEZ Notary Public Notary Public - State of Oklahoma (Commission Expiration: Commission Number 11003286 My Commission Expires Apr 8, 2027

TCN Voluntary Submittal to Jurisdiction Toan Cong Nguyen, OT 5737

Page 4 of 5

mu Dated this 2023. day of

Billy H. Stout, M.D., Board Secretary OKLAHOMA STATE BOARD OF MEDICAL LICENSURE AND SUPERVISION

Steven Katsis, M.D., President OKLAHOMA STATE BOARD OF MEDICAL LICENSURE AND SUPERVISION

Joseph L. Ashbaker, OBA 19395 Assistant Attorney General STATE OF OKLAHOMA, OFFICE OF ATTORNEY GENERAL Attorney for Plaintiff, Oklahoma State Board of Medical Licensure and Supervision

License OT 5737 Defendant William Wells, OBA# 14578

Toan Cong Nguyen, O.T.

Wells Law Firm Court Plaza Building 228 Robert S. Ker Avenue, Suite 220 Oklahoma City, OK 73102 Attorney for Defendant

ACKNOWLEDGMENT

STATE OF OKLAHOMA

COUNTY OF

SS.

This instrument was acknowledged before me on the _____ day of _____,

_____, by Toan Cong Nguyen.

Notary Public Commission Expiration:

Certificate of Service

This is to certify that on the 15^{H} day of 5^{H} mber, 2023, a true and correct copy of this Order was transmitted as indicated, postage prepaid, to the following:

U.S. Certified Mail

Toan Cong Nguyen, O.T. License OT 5737 1324 NW 9th Street Moore, OK 73170 *Defendant*

E-Mail

Joseph L. Ashbaker Assistant Attorney General STATE OF OK, OFFICE OF ATTORNEY GENERAL 313 N.E. 21st Street Oklahoma City, Oklahoma 73105 joe.ashbaker@oag.ok.gov Attorney for Plaintiff, Oklahoma State Board of Medical Licensure and Supervision

<u>U.S. First Class Mail, and E-Mail</u> William Wells, OBA# 14578 Wells Law Firm Court Plaza Building 228 Robert S. Ker Avenue, Suite 220 Oklahoma City, OK 73102 <u>billwells@web-law.com</u> Attorney for Defendant

ey Cloude

Shelley Crowder

Voluntary Submittal to Jurisdiction Toan Cong Nguyen, OT 5737