#### IN AND BEFORE THE OKLAHOMA STATE BOARD OF MEDICAL LICENSURE AND SUPERVISION STATE OF OKLAHOMA

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# FILED

STATE OF OKLAHOMA	
EX REL. THE OKLAHOMA BOARD	
OF MEDICAL LICENSURE	
AND SUPERVISION,	
Plaintiff,	

APR - 4 2013

OKLAHOMA STATE BOARD OF MEDICAL LICENSURE & SUPERVISION

Case No: 12-03-4507

v.

KELLY MARGARET HUMPHERYS, M.D., LICENSE NO. 26599 Defendant.

## COMPLAINT

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COMES NOW the Plaintiff, the State of Oklahoma ex rel. the Oklahoma State Board of Medical Licensure and Supervision (the "Board"), by and through its attorney, Scott Randall Sullivan, Special Prosecutor, and for its Complaint against the Defendant, Kelly Margaret Humpherys, M.D., alleges and states as follows:

The Board is a duly authorized agency of the State of Oklahoma empowered to license and oversee the activities of physicians and surgeons in the State of Oklahoma pursuant to Title 59 OK Stat. §480 et seq.

Defendant Kelly Margaret Humpherys, M.D. holds Oklahoma License No. 26599.

# OBSTETRICS AND GYNECOLOCY RESIDENCY PROBATION AND TERMINATION

 Defendant applied for an Oklahoma license on June 20, 2008. According to Defendant's Post-Graduate Medical Training response on her Oklahoma Application for Licensure, she documented that she changed her residency from Obstetrics and Gynecology ("OB-GYN") to Family Practice. She provided the following typewritten explanation regarding the time-gap:

"Kelly Humpherys, M.D. took a leave of absence from the KU (Kansas University) OB/GYN Residency program 12/3/2002 to care for her ailing mother. While caring for her mother from 12/31/2002 to her death in April, 2003, she decided that OB/GYN didn't feel like a right fit for her. She subsequently applied for a Family Medicine Residency and was accepted. The FP Residency started 7/1/2003".

- 2. Defendant was granted a full and unrestricted Oklahoma license on August 14, 2008.
- 3. Board staff later gained information through the course of their Investigation and Interview with the Defendant that she was placed on *probation* during her OB-GYN Residency (Post Graduate Year 3) at Kansas University Medical Center. Kansas University Medical Center staff reported to a Board Investigator that the cause was poor medical judgment related to obstetrical management and she was *terminated* from the program because she failed to complete the terms of her probation.
- Defendant admitted to Board staff that she *failed to answer truthfully* on her Oklahoma Licensure Application regarding the probation and termination of her OB-GYN Residency.

## FIRST HOSPITAL SUSPENSION

 In Defendant's Application for Oklahoma Licensure, Defendant answered "Yes" to Question J: "Have you ever been denied or had removed or suspended hospital staff privileges". Defendant provided a type-written supplement to her answer that stated:

> "My hospital privileges were temporarily suspended in April 2008 secondary to a missed LLL (left lower lobe) pneumothorax on a patient with severe lung disease who was discharged from the hospital and then readmitted 14 hours later and then received a chest tube and the pneumothorax resolved. It was missed by the emergency physician as well. The suspension was lifted three weeks later (in May 2008) following an investigation".

# JANE PHILLIPS MEDICAL CENTER

6. In the course of Defendant's career, on or about March 1, 2011, Defendant applied for privileges at Jane Phillip's Medical Center ("JPMC") in Bartlesville, OK. Defendant answered all questions regarding disciplinary action, including suspension, "No". During the Credentialing process at JPMC, a letter was provided by a previous facility in which the Defendant had had hospital privileges, St. John's Health System in Berryville, Arkansas. The letter stated:

"Defendant was suspended on April 29, 2008 and suspension was subsequently removed on May 28, 2008. The physician was allowed to take a medical leave of absence on May 28, 2008 to work on her *treatment plan* and resigned during the process" (June 15, 2008).

7. Defendant admitted to Board staff that she *failed to answer truthfully* on her application to JPMC regarding her suspension in Arkansas.

## FIRST ASSESSMENT

8. Defendant further admitted to Board staff that she spent several days for an *assessment* at the Professional Renewal Center ("PRC") in Lawrence, Kansas, May 19-23, 2008. She went on to explain that Administration from St. John's Health System in Arkansas requested that she "conduct an assessment of PRC". PRC staff documented otherwise:

"Dr. David Barbe of St. John's Health System referred Defendant to PRC for a comprehensive multidisciplinary assessment as a result of concerns regarding disruptive behavior in the work setting".

 PRC recommended an intensive treatment experience of six to eight weeks. The Defendant did not obtain treatment, resigned from the Arkansas hospital and sought an Oklahoma license.

## SECOND HOSPITAL SUSPENSION / FAIR HEARING AND APPEAL

 On or about December 30, 2011, Jane Phillips Medical Center ("JPMC") in Bartlesville, OK, summarily suspended the Defendant's obstetrical privileges. 11. On or about February 13, 2012, JPMC submitted a report to the National Practitioner Data Bank revealing that as of December 29, 2011, Defendant was an immediate threat to health and safety and had a summary or emergency limitation, restriction, or reduction of her clinical privileges:

"The reasons for the summary suspension include the following, related to the quality of care and patient safety during the labor and delivery of an obstetrical patient and the immediate post-delivery care of her newborn: 1) misdiagnosis and mismanagement of suspected but unconfirmed fetal distress, nuchal cord and/or prolapsed cord; 2) improper vaginal examination; 3) plan to perform emergency cesarean section under local anesthesia without clinical indications; 4) inappropriate patient management; 5) unnecessary delay in transferring unresponsive and cyanotic newborn to pediatrician; 6) creating an unnecessary chaotic environment for the patient and staff. The MEC recommended summary suspension of Defendant's OB (Obstetrical) privileges to reduce the likelihood of immediate injury or damage to the health and safety of obstetrical patients and their newborns".

- 12. Defendant then underwent a Fair Hearing at JPMC on or about March 13-14, 2012, followed by an Appeal. The Appeal Committee of JPMC rendered its Final Decision on June 6, 2012. The Appeal Committee unanimously AFFIRMED the summary suspension of Defendant's obstetrical privileges at JPMC.
- 13. Defendant denied to Board staff that she practiced wrongfully during the incident for which she was summarily suspended at JPMC.

## SECOND ASSESSMENT

14. At the request of Board staff, Defendant underwent an assessment conducted by Terese A. Hall, J.D., Ph.D., ABPP on July 9, 2012. Dr. Hall's conclusions were as follows:

> "[Defendant's] symptoms and behaviors appear to have the potential to cause **significant impairment in her ability to practice medicine**. She is currently unable to function as a part of a treatment team due to her interpersonal style. She is more invested in being right than in ensuring

that her professional decisions are correct. She is unlikely to ask for assistance or input or to accept it if offered. When questioned she becomes irate. Within the boundaries of routine practice this may not be a problem, but in a novel or emergency situation, it could pose significant problems for the quality of the care she is able to provide. In addition, [Defendant] is exhibiting circumstantial and disorganized thought processes, which could certainly impair her judgment and decisionmaking ability.

Dr. Hall also remarked that even with intensive long-term psychotherapy and possible medications, that prognosis is often poor ..... often there is little motivation for treatment.

15. Defendant is guilty of unprofessional conduct in that she:

(A) Has been found to be physically or mentally unable to practice medicine and surgery with reasonable skill and safety in violation of OCA 435:10-7-4.(17);

(B) Has engaged in practice or other behavior that demonstrates an incapacity or incompetence to practice medicine and surgery in violation of OAC 435:10-7-4.(18); and

(C) Has made false, fraudulent, or deceptive statements in documents connected with the practice of medicine and surgery in violation of OAC 435:10-7-4. (19).

## **CONCLUSION**

WHEREFORE, the Plaintiff respectfully requests that the Board conduct a hearing, and upon proof of the allegations contained herein, impose such disciplinary action as authorized by law, up to and including suspension or revocation and any other appropriate action with respect to Defendant's medical license, and an assessment of costs and attorney's fees incurred in this action as provided by law.

Dated the 4th day of Aptil , 2013 at 4:00 pm.

Respectfully submitted,

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Scott Randall Sullivan, OBA #11/179 OKLAHOMA STATE BOARD OF MEDICAL LICENSURE AND SUPERVISION 101 N.E. 51<sup>st</sup> Street Oklahoma City, OK 73105

Attorney for Plaintiff