#### IN AND BEFORE THE OKLAHOMA STATE BOARD OF MEDICAL LICENSURE AND SUPERVISION STATE OF OKLAHOMA

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STATE OF OKLAHOMA	)	FILED
EX REL. THE OKLAHOMA BOARD	)	
OF MEDICAL LICENSURE	)	NOV - 8 2001
AND SUPERVISION,	)	OKLAHOMA STATE BOARD OF
	)	MEDICAL LICENSURE & SUPERVISION
Plaintiff,	)	MEDICAL LICENSORE & SOF ERVICION
<b>v</b> .	)	Case No. 01-08-2385
MADEDI V DOCHELLE CACE M.D.	)	
KIMBERLY ROCHELLE GAGE, M.D.,	)	
LICENSE NO. 19918,	)	
Defendent	)	
Defendant.	)	

#### ORDER ACCEPTING VOLUNTARY SUBMITTAL TO JURISDICTION

Plaintiff, the State of Oklahoma, ex rel. the Oklahoma State Board of Medical Licensure and Supervision (the "Board"), by and through its attorney, Elizabeth A. Scott, Assistant Attorney General for the State of Oklahoma, and the staff of the Board, as represented by the Secretary of the Board, Gerald C. Zumwalt, M.D., and the Executive Director of the Board, Lyle Kelsey, and the Defendant, Kimberly Rochelle Gage, M.D., Oklahoma license no. 19918, who appears in person and pro se, proffer this Agreement for acceptance by the Board *en banc* pursuant to Section 435:5-1-5.1 of the Oklahoma Administrative Code ("OAC").

#### AGREEMENT AND ACKNOWLEDGMENT BY DEFENDANT

By voluntarily submitting to jurisdiction and entering into this Order, Defendant pleads guilty to the allegations in the Complaint and Citation filed herein on October 3, 2001, and acknowledges that hearing before the Board would result in some sanction under the Oklahoma Allopathic Medical and Surgical Licensure and Supervision Act (the "Act").

Defendant, Kimberly Rochelle Gage, M.D., states that she is of sound mind and is not under the influence of, or impaired by, any medication or drug and that she fully recognizes her right to appear before the Board for evidentiary hearing on the allegations made against her. Defendant hereby voluntarily waives her right to a full hearing, submits to the jurisdiction of the Board and agrees to abide by the terms and conditions of this Order. Defendant further acknowledges that she is entitled to have her interests represented by legal counsel and that she has elected to proceed without legal representation, thereby waiving her right to an attorney. Defendant acknowledges that she has read and understands the terms and conditions stated herein.

#### PARTIES' AGREEMENT AND STIPULATIONS

Plaintiff, Defendant and the Board staff stipulate and agree as follows:

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#### Findings of Fact

1. The Board is a duly authorized agency of the State of Oklahoma empowered to license and oversee the activities of physicians and surgeons in the State of Oklahoma pursuant to 59 Okla. Stat. §480 *et seq.* The Board has jurisdiction over this matter, and notice has been given in all respects in accordance with law and the rules of the Board.

2. Defendant, Kimberly Rochelle Gage, M.D., holds Oklahoma license no. 19918.

3. Defendant has admitted that from January 31, 2000 until July 6, 2001, she wrote prescriptions for controlled dangerous drugs to Patient CBW. These prescriptions include four (4) prescriptions for Percocet, a Schedule II controlled dangerous drug, for 110 dosage units, twenty-eight (28) prescriptions for Hydrocodone, a Schedule III controlled dangerous drug, for 870 dosage units, and fifty-eight (58) prescriptions for Carisoprodol, Alprazolam, Diazepam, Propoxyphene, Ambien, Lorazepam and Phentermine, Schedule IV controlled dangerous drugs, for 1758 dosage units. Patient CBW, who was also employed by the Defendant at her home, was then requested by Defendant to fill the prescriptions at various pharmacies in the Tulsa, Oklahoma area, and to then provide the controlled dangerous drugs to the Defendant for her personal consumption.

4. Defendant has admitted that from January 14, 2000 through May 22, 2001, she wrote prescriptions for controlled dangerous drugs to MBW, LOW, JRW and RSW, all of whom were relatives or acquaintances of Patient CBW. These prescriptions included thirty-three (33) prescriptions of Schedule III, IV and V controlled dangerous drugs for a total of 1055 dosage units. Defendant directed her employee, Patient CBW, to fill the prescriptions at various pharmacies in Tulsa, Oklahoma, and to then provide them to the Defendant for her personal consumption. Defendant admits that there are no patient charts for any of these persons.

5. On or about April 20, 2000, Defendant submitted her application for renewal of her full medical license in the State of Oklahoma for the period July 2, 2000 through July 1, 2001. On her application for renewal, Defendant was asked the following question:

"Have you met the Oklahoma CME requirement?"

In response to this question, Defendant answered "Yes."

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6. On or about August 3, 2001, the Board sent Defendant an Initial Audit Letter whereby she was advised that her name had been selected for audit of compliance with the continuing medical education requirement. Defendant was advised that she must submit proof of the required 150 hours of continuing medical education within thirty (30) days. Defendant did not respond to this letter from the Board.

7. On or about August 20, 2001, Defendant entered Rush Behavioral Health Center for evaluation and treatment.

8. On or about September 6, 2001, the Board sent Defendant a second letter via certified mail whereby she was advised that the Board had not received proof of compliance with continuing medical education requirements, and that to avoid possible disciplinary action, the documents must be submitted by September 20, 2001.

9. As of the date of the filing of this Complaint, Defendant had not responded in any way to the August 3, 2001 letter or the September 6, 2001 letter.

10. Title 59 O.S. §508 provides as follows:

"Whenever any license has been procured or obtained by fraud or misrepresentation...it shall be the duty of the Board to revoke such license..."

Section 508 further provides as follows:

"Use of fraudulent information to obtain a license shall be a misdemeanor offense, punishable, upon conviction, by the imposition of a fine of not less than One Thousand Dollars (\$1,000.00), or by imprisonment in the State Penitentiary for not more than one (1) year, or by both such fine and imprisonment."

11. Title 435:10-15-1 of the Oklahoma Administrative Code provides as follows:

. . .

(a)(1) Effective July 1, 2000, each applicant for re-registration of licensure shall certify that he/she has completed the requisite hours of continuing medical education (C.M.E.).

(c)(2) Misrepresenting compliance with C.M.E. requirements constitutes a fraudulent application.

12. Defendant subsequently submitted information that she had complied with the C.M.E. requirements.

13. Defendant is guilty of unprofessional conduct in that she:

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A. Habitually uses habit-forming drugs in violation 59 O.S. 407 §509(5) and OAC 435:10-7-4(3).

B. Engaged in dishonorable or immoral conduct which is likely to deceive, defraud or harm the public in violation of 59 O.S. §509(9) and OAC 435:10-7-4(11).

C. Violated any provision of the medical practice act or the rules and regulations of the Board or of an action, stipulation, or agreement of the Board in violation of 59 O.S. §509(14) and OAC 435:10-7-4(39),

D. Is unable to practice medicine with reasonable skill and safety to patients by reason of age, illness, drunkenness, excessive use of drugs, narcotics, chemicals or any other type of material or as a result of any mental or physician condition in violation of 59 O.S. §509(16) and OAC 435:10-7-4(40).

E. Purchased or prescribed a regulated substance in Schedules I through V for the physician's personal use in violation of OAC 435:10-7-4(5).

F. Prescribed, sold, administered, distributed, ordered or gave a drug legally classified as a controlled substance or recognized as an addictive dangerous drug to a family member or to himself or herself in violation of OAC 435:10-7-4(26).

G. Wrote a false or fictitious prescription for any drugs or narcotics declared by the laws of this state to be controlled or narcotic drugs in violation of 59 O.S. §509(12).

H. Prescribed a drug without sufficient examination and establishment of a valid physician patient relationship in violation of 59 O.S. §509(13).

I. Confessed to a crime involving a violation of the antinarcotic laws of the federal government or the laws of this state in violation of 59 O.S. §509(8) and 63 O.S. §2-407. B. Engaged in dishonorable or immoral conduct which is likely to deceive, defraud or harm the public in violation of 59 O.S. §509(9) and OAC 435:10-7-4(11).

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C. Violated any provision of the medical practice act or the rules and regulations of the Board or of an action, stipulation, or agreement of the Board in violation of 59 O.S. §509(14) and OAC 435:10-7-4(39),

D. Is unable to practice medicine with reasonable skill and safety to patients by reason of age, illness, drunkenness, excessive use of drugs, narcotics, chemicals or any other type of material or as a result of any mental or physician condition in violation of 59 O.S. §509(16) and OAC 435:10-7-4(40).

E. Purchased or prescribed a regulated substance in Schedules I through V for the physician's personal use in violation of OAC 435:10-7-4(5).

F. Prescribed, sold, administered, distributed, ordered or gave a drug legally classified as a controlled substance or recognized as an addictive dangerous drug to a family member or to himself or herself in violation of OAC 435:10-7-4(26).

G. Wrote a false or fictitious prescription for any drugs or narcotics declared by the laws of this state to be controlled or narcotic drugs in violation of 59 O.S. §509(12).

H. Prescribed a drug without sufficient examination and establishment of a valid physician patient relationship in violation of 59 O.S. §509(13).

I. Confessed to a crime involving a violation of the antinarcotic laws of the federal government or the laws of this state in violation of 59 O.S. §509(8) and 63 O.S. §2-407.

J. Committed an act which is a violation of the criminal laws of any state when such act is connected with the physician's practice of medicine in violation of 59 O.S. §509(10).

K. Prescribed a controlled substance without medical need in accordance with published standards in violation of 59 O.S. §509(17) and OAC 435:10-7-4(2) and (6).

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L. Used a false, fraudulent or deceptive statement in a document connected with the practice of medicine and surgery in violation of OAC 435:10-7-4(19).

M. Violated a state or federal law or regulation relating to controlled substances in violation of OAC 435:10-7-4(27), 63 O.S. §2-404, §2-406 and §2-407, and OAC 475:25-1-3 and OAC 475:30-1-3.

N. Procured, aided or abetted a criminal operation in violation of 59 O.S. §509(1).

O. Failed to maintain an office record for each patient which accurately reflects the evaluation, treatment, and medical necessity of treatment of the patient in violation of 59 O.S. §509(19).

#### Order

IT IS THEREFORE ORDERED by the Oklahoma State Board of Medical Licensure and Supervision as follows:

1. The Board *en banc* hereby adopts the agreement of the parties in this Voluntary Submittal to Jurisdiction.

2. The license of Defendant, Kimberly Rochelle Gage, M.D., Oklahoma license no. 19918, is hereby SUSPENDED beginning August 16, 2001 for a period of ninety (90) days.

3. Pursuant to the parties' voluntary agreement and submittal to jurisdiction, Defendant shall be placed on PROBATION for a period of five (5) years following her suspension under the following terms and conditions:

A. Defendant will conduct her practice in compliance with the Oklahoma Allopathic Medical and Surgical Licensure and Supervision Act as interpreted by the Oklahoma State Board of Medical Licensure and Supervision. Any question of interpretation

regarding said Act shall be submitted in writing to the Board and no action based on the subject of the question will be taken by Defendant until clarification of interpretation is received by Defendant from the Oklahoma State Board of Medical Licensure and Supervision.

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B. Upon request of the Board Secretary, Defendant will request all hospitals in which she anticipates practicing to furnish to the Board Secretary of the Oklahoma State Board of Medical Licensure and Supervision a written statement regarding monitoring of her practice while performing services in or to that hospital.

C. Defendant will furnish to each and every state in which she holds licensure or applies for licensure and hospitals, clinics or other institutions in which she holds or anticipates holding any form of staff privilege or employment, a copy of the Board Order stipulating sanctions imposed by the Oklahoma State Board of Medical Licensure and Supervision.

D. Defendant will not supervise allied health professionals that require surveillance of a licensed physician.

E. Defendant will not prescribe, administer, dispense or possess any drugs in Schedules I through V, except that Defendant may prescribe drugs in Schedules I through V to hospital inpatients and emergency room patients only.

F. Defendant will enter and continue therapy with a therapist approved in advance by the Board Secretary and will authorize in writing the release of any and all records of that treatment to the Board or its designee. Defendant shall continue with said counseling until both the counselor and the Board approve discontinuance of counseling. Defendant shall provide quarterly reports from her counselor of her progress to the Board Secretary for his review.

G. Defendant will enter and continue psychiatric treatment with a psychiatrist approved in advance by the Board Secretary and will authorize in writing the release of any and all records of that treatment to the Board or its designee. Defendant shall continue with said treatment until both the psychiatrist and the Board approve discontinuance of treatment. Defendant shall provide quarterly reports from her psychiatrist of her progress to the Board Secretary for his review. H. Defendant will submit biological fluid specimens to include, but not limited to, blood and urine, for analysis, upon request of the Oklahoma State Board of Medical Licensure and Supervision or its designee, and Defendant will pay for the analysis thereof.

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I. Defendant will not prescribe, administer or dispense any medications for personal use or for that of any family member.

J. Defendant will take no medication except that which is authorized by a physician treating her for a legitimate medical need. Defendant has the affirmative duty to inform any and every doctor treating her of the Board Order immediately upon initiation, or continuation of treatment.

K. Defendant will have the affirmative duty not to ingest any substance which will cause a body fluid sample to test positive for prohibited substances.

L. Defendant will authorize in writing the release of any and all information regarding her treatment at Rush and any other records of her medical, emotional or psychiatric treatment to the Oklahoma State Board of Medical Licensure and Supervision.

M. Defendant will abide by the terms and recommendations of her post care contracts with Rush and the Physicians' Recovery Program, copies of which are attached hereto, including psychiatric treatment or counseling with a doctor or therapist approved by the Oklahoma State Board of Medical Licensure and Supervision. Defendant will authorize in writing the release of any and all records of that treatment to the Oklahoma State Board of Medical Licensure and Supervision and will authorize the Compliance Consultant to the Board to discuss her case and treatment with the individuals providing Defendant's treatment.

N. Defendant will attend three (3) meetings per week of a local 12-step program.

O. Defendant shall promptly notify the Board of any relapse, including any entry, or re-entry, into a treatment program for substance abuse.

P. Defendant shall promptly notify the Board of any citation or arrest for traffic or for criminal offenses involving substance

abuse.

Q. Defendant will keep the Oklahoma State Board of Medical Licensure and Supervision informed of her current address.

R. Defendant will keep current payment of all assessments by the Oklahoma State Board of Medical Licensure and Supervision for prosecution, investigation and monitoring of her case, which shall include but is not limited to a one hundred dollar (\$100.00) per month fee during the term of probation, unless Defendant affirmatively obtains a deferment of all or part of said fees upon presentation of evidence that is acceptable to the Board Secretary.

S. Until such time as all indebtedness to the Oklahoma State Board of Medial Licensure and Supervision has been satisfied, Defendant will reaffirm said indebtedness in any and all bankruptcy proceedings.

T. Defendant shall make herself available for one or more personal appearances before the Board or its designee upon request.

U. Defendant shall submit any required reports and forms on a timely and prompt basis to the Compliance Coordinator or designee.

V. Failure to meet any of the terms of this Board Order will constitute cause for the Board to initiate additional proceedings to suspend, revoke or modify Defendant's license after due notice and hearing.

4. Defendant's suspension will be lifted, and her license will be reinstated only upon payment in full of all costs and expenses incurred by the State of Oklahoma prior to November 8, 2001.

Dated this  $\underline{\mathscr{S}}$  day of November, 2001.

SER. alexand

John Alexander, M.D., President Oklahoma State Board of Medical Licensure and Supervision

#### AGREED AND APPROVED

Kimberly Rochefle Gage, M.D. License No. 19918

Elizabeth A. Scott, ÓBA #12470 Assistant Attorney General State of Oklahoma 5104 N. Francis, Suite C Oklahoma City, OK 73118

Attorney for the Oklahoma State Board of Medical Licensure and Supervision

#### **CERTIFICATE OF MAILING**

I certify that on the  $\underline{14}$  day of November, 2001, a mailed a true and correct copy of the Order Accepting Voluntary Submittal to Jurisdiction to Kimberly Rochelle Gage, M.D., 5614 East 80<sup>th</sup> Place, Tulsa, OK 74136-5195.

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447-78-7912

Cyce 629-4448 fome 4x

CONTRACT BETWEEN THE OKLAHOMA STATE MEDICAL

#### ASSOCIATION RECOVERY COMMITTEE AND KIM GAGE

The purpose of this contract is for the Oklahoma State Medical Association Physician Recovery Program to provide advocacy for Dr.

<u>KM</u> <u>Age</u> and in order to assure that such advocacy is appropriate, the below provisions will serve to aid Dr. <u>K</u> in strengthening his personal recovery program and to assure the Program representatives that a strong recovery program is in place.

Dr. <u>K</u> agrees to remain abstinent from all psychoactive substances, legal or illegal, including alcohol. To validate that abstinence random urine drug screens will be obtained, as arranged by Dr. <u>Ometro</u>, and results furnished to the Physician Recovery Program contingent upon the approval of the monitoring plan by the program representative.

Dr.  $\angle \underline{\mathcal{L}}$  agrees to attend the Medical Professional Support Group as well as

other community twelve step (A.A. or N.A.) meetings weekly. Upon request by the Physician Recovery Program the validation of that meeting attendance will be made available. In addition Dr. Agrees to obtain a sponsor with at least two years abstinent recovery, with whom he /she will maintain at least weekly contact.

Dr.  $K_{G}$  agrees to advise any physician treating him/her of his alcoholism or chemical dependency history; and Dr. Thiessen or other Program representative agrees to provide consultation as to chemical dependency issues specifically as to use of certain medications to Dr.  $K_{G}$  or the treating physician.

Dr. <u>166</u> hereby authorizes release of information from the Physician Recovery Program to the appropriate Board, licensing agency or insurance carrier as outlined above and as requested for advocacy purposes. This contract will be for years.

im Deck

Participating Physician.

/for Physician Recovery Program

Date

Date 40ator

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RUSH	I - PRESBYTERIAN - ST. LUKE'S MEDICAL CENTER	Kimberly Gage 08/20/2001	
RUSF	H BEHAVIORAL HEALTH CENTER	00014481 PHP - Barb	
C	Continuing Care Plan		(addressograph)
Name	Kimberly Gage	Age: <u>39</u> Male/Female:	
Addre	ss: 5614 E. 80th Place	Tulsa, OK 74134	2
Phone	(Home): <u>918-488-0192</u> sion Date: <u>8/20/01</u>	Work: 918 629-4448 (May we contact you at work Discharge Date: 928/01	(?) Yes No
	y Counselor: BARBARA LAUKA		
Referr	al Source Name: <u>HARCLD THIESS</u>	W.M. Done #: 405-376-	9728
Referr	al Source Title/Relation to Patient:	OK PHYSICIANS RECOVE	zy frogram
Progre	ss reports to referral source? [ ] yes	no Verbal Written	
Freque	ency of reports: Release of	of Information signed? 📈 yes 🏾 [	] no
RECO	MMENDATIONS		
1.	Continuing Care Group: Caduce	EUS Aftercare	
	Place: Laureate Psych	- Center Tulsa	
	Day/Time: Tursday 8:00	M Start Date: 10-02.0	)(
	Issues to be addressed (include unresolv	red problems from problem list):	
	Self estern, assertue	ness, family, letur	in to
	Work	J *	
2.	Self Help Group:	ecommended Frequency: <u>3X/UYU</u>	Je
	Recommendations regarding sponsorship 3X/WEN2 - Attend Issues to be addressed (include unresolve	I meeting together u	rely
	Work 12-stepo of th	e program E spons	OR.

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	Professional Services (i.e. Individual/Marital/Family Therapy, Medical/Psychiatric Follov Up, Vocational Counseling, etc.) Specific Services Recommended: <u>INDIVIDUAL THERAPY</u>
	MEDICATION MANAGEMENT. Provider Name: TBA by De OMEULA Phone #: 918-747-4310
	Address:
	Date/Time of Initial Appointment:
	Release of Information signed? yes [ ] no 🔀
	Provider Name: Micheal Gebetsberger Phone #: 918-491-5990
	Address: Tulsa, OK - (6600 S. Yale tve Ste 750)
	Date/Time of Initial Appointment:
	Release of Information signed? yes [ ] no [X]
	Issues to be addressed (include unresolved problems form problem list):
	INDIVIDUAL: Codependence - Self estrem ISSUES
	PTSD
•	Other continuing care recommendations
_ ]	Patient Comments Related to Continuing Care Planning:
-	
	(Patient Signature) (Patient Signature) (Date)
1	
	Staff Signature)

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RŲSH UNIVERSITY

## **ORUSH** RUSH BEHAVIORAL HEALTH CENTER-DuPAGE

### **CADUCEUS AFTERCARE AGREEMENT**

I, Kimberly Gage, having completed the primary treatment phase of the Rush Behavioral Health Professional's Program, agree to the following terms concerning my on going aftercare and monitoring. I understand that Rush Behavioral Health will act in an advocacy capacity regarding my professional standing so long as I adhere to the following conditions:

- 1. The terms of this contract shall be in effect for a period of twenty months from the contract date.
- 2. I agree to enroll in and abide by the conditions of my State Professional's Assistance Program under the direction of: Harold Thiesson, M.D. – Oklahoma Physician Recovery Program.
- 3. I agree to practice my profession in the following location (specify profession, specify type of practice and location):

Profession: Physician Type of practice: E.R.

- 4. I agree to the following terms concerning the prescribing of handling of mood-altering chemicals: No personal handling or administration of mood altering substances; absolutely no prescribing for self, family, or friends.
- 5. I agree to the following restrictions or conditions regarding my professional practice: Maintenance of reasonable work hours.
- 6. I agree to provide urine toxicology screens at a frequency indicated below or whenever requested by Rush Behavioral Health, the State Professional's Assistance Program, or my primary care physician. The urine monitoring shall be random, observed, and performed through an approved agency. (Specify which facility will be handling the monitoring and frequency of drops.

Facility: **TBA** Frequency: Weekly Monitor: **Dr. Bill O'Meilia, 918-747-4310, 2750 E. 44<sup>th</sup> St., Tulsa, OK 74105** 

#### Rush Behavioral Health Center - DuPage Kimberly Gage Page 2

7. If forms need to be sent, such as quarterly reports, toxicologies, etc. please state which forms need to be sent and frequency of mailing:

orms:	
requency of mailing:	<u>.</u>
lame:	
ddress:	<u></u>

# If this information changes, please contact the M.D. Secretary at Rush Behavioral Health at (630) 969-7300.

8. I agree to obtain a primary care physician who will assume responsibility for my medical health maintained. Preferably one knowledgeable about addiction.

Primary Physician: Address: Phone:

- 9. I agree to the following recommendations concerning individual therapy, family therapy, or halfway house placement:
- 10. I agree to attend the following professional's monitoring and support group: Tuesday, 8:00 p.m. Caduceus, Laureate Psych Center.
- 11. I agree to attend a recovery self-help group and obtain a sponsor. (Indicate self-help group and frequency): Minimum of 3 AA/NA meetings weekly.
- 12. I agree to take responsibility for expenses associated with treatment and aftercare.
- 13. I agree to meet with my Rush Behavioral Health aftercare coordinator on a quarterly basis, or as indicated. If located outside the area, indicate type and frequency of aftercare contact: Follow-up visit in 3 months.
- 14. I agree to attend the Rush Behavioral Health-DuPage Alumni Renewal on the following dates: Voluntary to be announced.
- 15. I agree to abstain from the use of all mood-altering chemicals, except as prescribed by my primary or treating physicians, and, whenever possible, in consultation with my supervising physician at Rush Behavioral Health at the earliest opportunity. Further, I agree to a policy of not self-prescribing medications for any reason.
- 16. I agree to notify Rush Behavioral Health <u>immediately</u> in the event of a relapse.

- Rush Behavioral Health Center DuPage Caduceus Aftercare Contract Page 3.
  - 17. I understand that failure to comply with the terms of this contract may result in termination of professional advocacy, and that the appropriate monitoring agencies will be informed as necessary.

age Signature of Caduceus Enrollee E. 80th Place Tulsa OK 74/36 City State Zip Code Address: 

 Home Phone:
 918-488-0192
 Work Phone:
 918-494-6161

 Pager:
 Ø
 Other:
 918-629-4448

Special Instructions for Caller: 01 Signature of Primary Counselor 0 Date Signature of Supervising Physician

First appointment scheduled with on: \_\_\_\_\_\_ with \_\_\_\_\_\_ (Date) (Rush Physician)

gs:forms/cadu.con